

Sheet 1 of 9

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1615.1001D2C	APPLICATION NO. 09/820,722
LIST OF REFERENCES CITED BY APPLICANT  (Use several sheets if necessary)		FIRST NAMED INVENTOR Dale Tyson ROBERTS et al.	
		FILING DATE March 30, 2001	GROUP ART UNIT 2154

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
VV	AA	5,206,949	04/27/93	Cochran et al.			
VV	AB	5,113,383	05/12/92	Amemiya et al.			
VV	AC	5,132,949	07/21/92	Choi			
VV	AD	5,157,614	10/20/92	Kashiwazaki et al.			
VV	AE	5,157,646	10/20/92	Amemiya et al.			
VV	AF	5,263,010	11/16/93	Amemiya et al.			
VV	AG	5,341,350	08/23/94	Frank et al.			
VV	AH	5,392,264	02/21/95	Hira			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
VV	AI	0 460 869	12/11/91	Europe				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

			TRANSLATION YES NO	
VV	AJ	USMARC Format for Bibliographic Data, Library of Congress, 1994		
VV	AK	USMARC Format for Bibliographic Data - Update No. 2, Library of Congress, March 1996		
VV	AL	Sound Recordings Format, OCLC Online Systems, 2 <sup>nd</sup> Edition 1986		

EXAMINER  V. Vw	DATE CONSIDERED  10-18-04
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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
✓✓	CA	5,701,385	12/23/97	Katsuyama et al.			
	CB	5,726,957	03/10/98	Hisamatsu et al.			
	CC	5,740,304	04/14/98	Katsuyama et al.			
	CD	5,768,222	06/16/98	Hisamatsu et al.			
	CE	5,781,889	07/14/98	Martin et al.			
	CF	5,649,114	07/15/97	Deaton et al.			
	CG	5,659,732	08/19/97	Kirsch			
	CH	5,694,162	12/02/97	Freeny, Jr.			
	CI	5,740,549	04/14/98	Reilly et al.			
	CJ	5,754,784	05/19/98	Garland et al.			
	CK	5,761,649	06/02/98	Hill			
	CL	5,781,909	07/14/98	Logan et al.			
	CM	5,796,945	08/18/98	Tarabella			
	CN	6,029,142	02/22/00	Hill			
	CO	5,703,795	12/30/97	Mankovitz			
	CP	5,793,980	08/11/98	Glaser et al.			
	CQ	5,430,698	07/04/95	Nonaka et al.			
✓	CR	5,446,891	08/29/95	Kaplan et al.			

EXAMINER  ✓ ✓	DATE CONSIDERED  10-18-04
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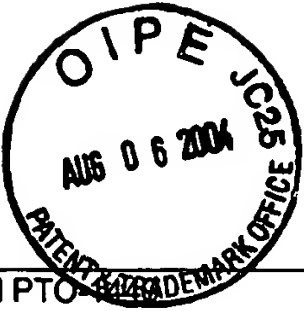


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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
W.	DA	4,488,179	12/11/84	Krüger et al.			
	DB	4,641,205	02/03/87	Beyers, Jr.			
	DC	4,870,568	09/26/89	Kahle et al.			
	DD	5,210,734	05/11/93	Sakurai			
	DE	5,388,259	02/07/95	Fleischman et al.			
	DF	5,414,684	05/09/95	Nonaka et al.			
	DG	5,446,714	08/29/95	Yoshio et al.			
	DH	5,694,546	12/02/97	Reisman			
	DI	5,751,672	05/12/98	Yankowski			
	DJ	6,247,022	06/12/01	Yankowski			
	DK	6,272,078	08/07/01	Yankowski			
	DL	6,388,957	05/14/02	Yankowski			
	DM	6,388,958	05/14/02	Yankowski			
	DN	6,147,940	11/14/00	Yankowski			
	DO	6,128,255	10/03/00	Yankowski			
	DP	6,128,625	10/03/00	Yankowski			
	DQ						
	DR						

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FORM PTO-822A <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF REFERENCES CITED BY APPLICANT</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO.	APPLICATION NO.
	1615.1001D2C	09/820,722
	FIRST NAMED INVENTOR	
	Dale Tyson ROBERTS et al.	
	FILING DATE	GROUP ART UNIT
	March 30, 2001	2154

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)			TRANSLATION	
			YES	NO
VV	EA	Table of Contents of the Proceedings of the Second Annual ACM Conference on Hypertext, 1989, Printed from The ACM Digital Library, 4 pp.		
	EB	Table of Contents of the Proceedings of the Third Annual ACM Conference on Hypertext, 1991, Printed from The ACM Digital Library, 5 pages		
	EC	Table of Contents of the Proceedings of the ACM Conference on Hypertext, 1992, Printed from The ACM Digital Library, 4 pages		
	ED	Table of Contents of the Proceedings of the First ACM International Conference on Multimedia, 1993, Printed from The ACM Digital Library, 6 pages		
	EE	Mark BAUGHER et al., "A Multimedia Client to the IBM LAN Server", Proceedings of the First ACM International Conference on Multimedia, 1993, pp. 105-112		
	EF	Naveed U. QAZI et al., "A Synchronization and Communication Model for Distributed Multimedia Objects", Proceedings of the First ACM International Conference on Multimedia, 1993, pp. 147-155 (1-9)		
	EG	B. PRABHAKARAN et al., "Synchronization Models for Multimedia Presentation with User Participation", Proceedings of the First ACM International Conference on Multimedia, 1993, pp. 157-166 (1-12)		
	EH	T.D.C. LITTLE et al., "A Digital On-Demand Video Service Supporting Content-Based Queries", Proceedings of the First ACM International Conference on Multimedia, 1993, pp. 427-436 (1-9)		
↓	EI	Table of Contents of the Proceedings of the 1994 ACM European Conference on Hypermedia Technology, 1994, Printed from The ACM Digital Library, 4 pages		
	EJ			

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## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

				TRANSLATION	
				YES	NO
W.	FA	Table of Contents of the Proceedings of the Fifth ACM Conference on Hypertext, 1993, Printed from The ACM Digital Library, 4 pages			
	FB	Yoshitaka SHIBATA et al., "Dynamic Hypertext and Knowledge Agent Systems for Multimedia Information Networks", Proceedings of the Fifth ACM Conference on Hypertext, 1993, pp. 82-92			
	FC	Frank Wm. TOMPA et al., "Hypertext by Link-Resolving Components", Proceedings of the Fifth ACM Conference on Hypertext, 1993, pp. 118-130			
	FD	Kyoji HIRATA et al., "Media-based Navigation for Hypermedia Systems", Proceedings of the Fifth ACM Conference on Hypertext, 1993, pp. 159-173			
	FE	Robert M. AKSCYN et al., "Design of Hypermedia Script Languages: The KMS Experience", Proceedings of the Fifth ACM Conference on Hypertext, 1993, pp. 268-269			
	FF	Table of Contents of the Proceedings of the Second ACM International Conference on Multimedia, 1994, from The ACM Digital Library, 10 pages			
	FG	Dragutin PETKOVIC et al., "Multimedia Databases and Information Systems", Proceedings of the Second ACM International Conference on Multimedia, 1994, p. 67			
	FH	Jean-Pierre COURTIAT et al., "Towards a New Multimedia Synchronization Mechanism and its Formal Specification", Proceedings of the Second ACM International Conference on Multimedia, 1994, pp. 133-140			
↓	FI	Tat-Seng CHUA et al., "Content-based Retrieval of Segmented Images", Proceedings of the Second ACM International Conference on Multimedia, 1994, pp. 211-218			
	FJ				

EXAMINER  V.    √w	DATE CONSIDERED  10-18-07
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)			TRANSLATION	
			YES	NO
✓	GA	Lian LI et al., "MPEG-2 Coded- and Uncoded-Stream Synchronization Control for Real-time Multimedia Transmission and Presentation over B-ISDN", Proceedings of the Second ACM International Conference on Multimedia, 1994, pp. 239-246		
	GB	Shahram GHANDEHARIZADEH et al., "On Multimedia Repositories, Personal Computers, and Hierarchical Storage Systems", Proceedings of the Second ACM International Conference on Multimedia, 1994, pp. 407-416		
	GC	Louis WEITZMAN, "Automatic Presentation of Multimedia Documents Using Relational Grammars", Proceedings of the Second ACM International Conference on Multimedia, 1994, pp. 443-451		
	GD	Michael MASCHA et al., "Interactive Education: Transitioning CD-ROMs to the Web", Computer Networks and ISDN Systems, Vol. 27, No. 2, 1994, pp. 267-272		
	GE	Proposal No. 95-1: Changes to Field 856 (Electronic Location and Access) in the USMARC Bibliographic Format, Library of Congress, December 2, 1994, 13 pages		
	GF	Proposal No. 95-8: Define Field 856 (Electronic Location and Access) in the USMARC Classification Format, Library of Congress, May 5, 1995, 2 pages		
	GG	ANSI/NISO Z39.50-1995 – Information retrieval (Z39.50): Application Service Definition and Protocol Specification, 1995, pp. i-iv & 1-156		
	GH	Table of Contents of the Proceedings of the Third ACM International Conference on Multimedia, 1995, from The ACM Digital Library, 8 pages		
↓	GI	HongJiang ZHANG et al., "Video Parsing, Retrieval and Browsing: An Integrated and Content-Based Solution", Proceedings of the Third ACM International Conference on Multimedia, 1995, pp. 15-24 (1-19)		

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## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

				TRANSLATION	
				YES	NO
✓✓	HA	Table of Contents of the Proceedings of the Seventh ACM Conference on Hypertext, 1996, Printed from The ACM Digital Library, 4 pages			
	HB	Paul H. LEWIS et al., "Media-based Navigation with Generic Links", Proceedings of the Seventh ACM Conference on Hypertext, 1996, pp. 215-223			
	HC	PURL Frequently Asked Questions, OCLC, March 20, 1996, pp. 1-19			
	HD	Z39.50 Implementors Group (ZIG) Meeting Minutes for April 25, 1995, pp. 1-29			
	HE	ZIG Meeting Minutes for September 1995, pp. 1-22			
	HF	Proposal No. 96-1: Changes to Field 856 (Electronic Location and Access) in the USMARC Formats, Library of Congress, December 1, 1995, 12 pages			
	HG	"The Computer Network Unveils Revolutionary Internet Advertising Tools that Allow Custom Banner Ad Delivery Based Demographic Information", CNET Networks, December 6, 1995, pp. 1-3			
	HH	BookWhere? for Windows v1.1 – Readme.txt, Sea Change Corporation 1995, pp. 1-3			
	HI	GeoPac Management Guide, Release 1.25, Geac Computer Corporation Limited, 1994, pp. 1-1 to 4-24.			
	HJ	Ian S. GRAHAM, The HTML Sourcebook, 1995, pp. 18, 19, 178, 179, 192, 193, 268-277			
	HK	Joshua EDDINGS, How the Internet Works, 1994, pp.136-147, 158, 159			
↓	HL	John D. LENK, Lenk's Laser Handbook, McGraw-Hill, Inc., 1992, pp. 2.1-2.13			

EXAMINER <i>V. Vn</i>	DATE CONSIDERED <i>10-18-04</i>
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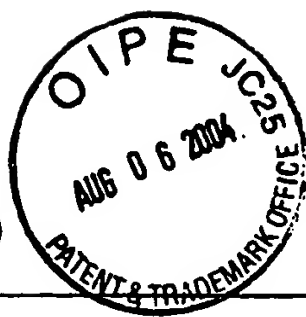




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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)			TRANSLATION	
			YES	NO
✓	IA	Protocol Specification for Internet Protocol - RFC 791, DARPA Internet Program, September 1981, pp. i-iii, 1-45		
	IB	Win32 Programmer's Reference Volume 2: System Services, Multimedia Extensions and Application Notes, Microsoft Press, 1993, pp. 517-519, 521-672		
	IC	COMER et al, Internetworking with TCP/IP, Vol I: Principles, Protocols and Architecture, Third Ed., 1995, pp. 59-71, 73-88 and 588		
	ID	COMER et al, Internetworking with TCP/IP, Vol II: Design, Implementation and Internals, Third Ed., 1995, pp.		
	IE	COMER et al, Internetworking with TCP/IP, Vol III: Client-Server Programming and Applications, Third Ed., 1995, pp.		
	IF	Steve SCHERF, cddb - CD Database Protocol Server, version 1.0, 1996		
	IG	Ti KAN, xmcd - Unix CD Player, version 2.0, 1996		
✗	IH	Martin RÖSCHEISEN et al., "Beyond Browsing: Shared Comments, SOAPs, Trails, and On-line Communities", Computer Networks and ISDN Systems, vol. 27, 1994, pp. 739-749		
	II			
	IJ			
	IK			
	IL			

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## LIST OF ADDITIONAL SUBMITTED DOCUMENTS

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FIRST NAMED INVENTOR Dale Tyson ROBERTS et al.	
FILING DATE March 30, 2001	GROUP ART UNIT 2154

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of any identified copending application(s) is provided.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
W	JA	5,781,897	07/14/98	Chen et al.	<del>          </del>	<del>          </del>	
W	JB	5,893,910	04/13/99	Martineau et al.	<del>          </del>	<del>          </del>	

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	
W	JC	98/00788	01/08/98	WIPO	<del>          </del>	<del>          </del>	<del>          </del>	
W	JD	98/02820	01/22/98	WIPO	<del>          </del>	<del>          </del>	<del>          </del>	
W	JE	97/41504	11/06/97	WIPO	<del>          </del>	<del>          </del>	<del>          </del>	
W	JF	10-171818	06/26/98	Japan	<del>          </del>	<del>          </del>	<del>          </del>	
W	JG	0 814 419	12/29/97	Europe	<del>          </del>	<del>          </del>	<del>          </del>	

EXAMINER V. W	DATE CONSIDERED 10-18-04
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ATTACHMENT 1(9)

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
VV	KA	5,745,681	04/28/98	Levine et al.			
	KB	5,903,816	05/11/99	Broadwin et al.			
	KC	5,929,849	07/27/99	Kikinis			
	KD	5,950,173	09/07/99	Perkowski			
	KE	5,822,436	10/13/98	Rhoads			
	KF	5,826,267	10/20/98	McMillan			
	KG	5,835,914	11/10/98	Brim			
	KH	5,848,427	12/08/98	Hyodo			
	KI	6,025,837	02/15/00	Matthews, III et al.			
	KJ	6,138,162	10/24/00	Pistriotto et al.			
	KK	6,175,857	01/16/01	Hachiya et al.			
	KL	6,496,802	12/17/02	Van Zoest et al.			
	KM	6,535,907	03/18/03	Hachiya et al.			
	KN	6,609,105	08/19/03	Van Zoest et al.			
	KO	5,894,554	04/13/99	Lowery et al.			
	KP	6,005,565	12/21/99	Legall et al.			
	KQ	6,009,410	12/28/99	LeMole et al.			
	KR	6,112,240	08/29/00	Pogue et al.			
V	KS	6,226,672	05/01/01	DeMartin et al.			

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
✓✓	LA	6,243,725	06/05/01	Hempleman et al.	←		
	LB	6,314,570	11/06/01	Tanigawa et al.	←		
	LC	6,434,597	08/13/02	Hachiya et al.	←		
	LD	6,505,160	01/07/03	Levy et al.	←		
	LE	6,636,249	10/21/03	Rekimoto	←		
	LF	6,671,736	12/30/03	Virine et al.	←		
	LG	5,761,606	06/02/98	Wolzien	←		
	LH	5,727,129	03/10/98	Barrett et al.	←		
	LI	5,673,322	09/30/97	Pepe et al.	←		
	LJ	6,457,076	09/24/02	Cheng et al.	←		
	LK	5,905,248	05/18/99	Russell et al.	←		
	LL	6,018,768	01/25/00	Ullman et al.	←		
	LM	6,263,505	07/17/01	Walker et al.	←		
	LN	6,263,028	07/17/01	Nagaño	←		
	LO	5,838,910	11/17/98	Domenikos et al.	←		
	LP	6,324,694	11/27/01	Watts et al.	←		
	LQ	5,996,000	11/30/99	Shuster	←		
	LR	6,032,130	02/29/00	Alloul et al.	←		
↓	LS	5,987,454	11/16/99	Hobbs	←		

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✓✓	MA	5,757,739	05/26/98	Heath et al.			
	MB	5,774,431	06/30/98	Bos et al.			
	MC	5,793,726	08/11/98	Nagano			
	MD	5,815,471	09/29/98	Mince et al.			
	ME	5,822,283	10/13/98	Bos et al.			
	MF	5,959,944	09/28/99	Dockes et al.			
	MG	5,959,945	09/28/99	Kleiman			
	MH	6,011,758	01/04/00	Dockes et al.			
	MI	6,031,795	02/29/00	Wehmeyer			
	MJ	6,034,925	03/07/00	Wehmeyer			
	MK	5,822,216	10/13/98	Satchell, Jr. et al.			
	ML	5,991,798	11/23/99	Ozaki et al.			
	MM	6,195,693	02/27/01	Berry et al.			
	MN	6,189,030	02/13/01	Kirsch et al.			
✓	MO	6,304,523	10/16/01	Jones et al.			
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	MQ						
	MR						
	MS						

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ATTACHMENT 1(g)

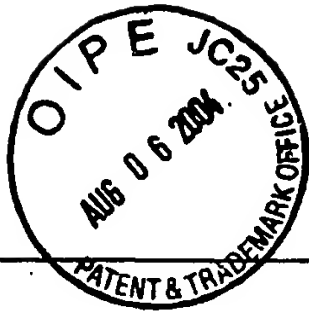
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			TRANSLATION	
			YES	NO
✓✓	NA	Table of Contents of the Proceedings of the Fourth ACM International Conference on Multimedia, 1996, from The ACM Digital Library, 7 pages		
	NB	Rainer LIENHART, "Automatic Text Recognition for Video Indexing", Proceedings of the Fourth ACM International Conference on Multimedia, 1996, pp. 11-20		
	NC	Ketan Mayer-PATEL et al., "Synchronization Continuous Media Playback Through the World Wide Web", Proceedings of the Fourth ACM International Conference on Multimedia, 1996, pp. 435-436		
	ND	Brian C. SMITH et al., "The Berkeley Continuous Media Toolkit", Proceedings of the Fourth ACM International Conference on Multimedia, 1996, pp. 451-452		
	NE	David DeROURE et al., "A Multiagent System for Content Based Navigation of Music", Proceedings of the Seventh ACM International Conference on Multimedia, 1999, pp. 63-66		
	NF	Keith Shafer et al., Introduction to Persistent Uniform Resource Locators, Proceedings of INET96, 1996, pp. 1-8		
	NG	Proposal No. 97-9: Renaming of subfield 856\$u to accommodate URNs, National Digital Library Program, May 1, 1997, pp. 1-6		✓
	NH	Record for DISCID af0f630c from xmcd 1.4 CD database file, 1996		✓
	NI	Record for DISCID af0f630c, Revision 1, processed by cddb v1.4b14PL0, 1996		✓
	NJ	Ti KAN, "X M C D version 2.0", BSD News Article 64976, 1996, pp. 1-10		
	NK	Tim BERNERS-LEE et al., Weaving the Web, 1999, pp. 188, 189, 211-219		
	NL	Richard SELTZER, The AltaVista Search Revolution, Osborne McGraw-Hill, 1997, pp. 7, 8, 215-237		
↓	NK	John DEEP et al., Developing CGI Applications with Perl, 1996, pp. 71, 73, 76, 77		

EXAMINER	✓. ✓h	DATE CONSIDERED	10-18-04
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



# LIST OF ADDITIONAL SUBMITTED DOCUMENTS

ATTORNEY DOCKET NO.	APPLICATION NO.
1615.1001D2C	09/820,722
FIRST NAMED INVENTOR	
Dale Tyson ROBERTS et al.	
FILING DATE	GROUP ART UNIT
March 30, 2001	2154

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

			TRANSLATION	
			YES	NO
✓	OA	T. BERNERS-LEE, Hypertext Transfer Protocol -- HTTP/1.0 (RFC 1945), Network Working Group, May 1996, pp. 1-60		
✓	OB	WorkMan changes as of Release 1.3 (undated, Release 1.2.2a dated May 22, 1994).		
✓	OC	BookWhere? for Windows User Guide Manual Version 2.0.4, Sea Change Corporation 1996, pp. 5-36		
✓	OD	BookWhere? for Windows v2.1 -- Readme.txt, Sea Change Corporation 1996, pp. 1-7		
✓	OE	BookWhere? for Windows Help v2.0, Sea Change Corporation 1996, 16 pages		
✓	OF	Paul H. LEWIS et al., "Content Based Navigation in Multimedia Information Systems", Proceedings of the Fourth ACM International Conference on Multimedia, 1996, pp. 415-416		
	OG			
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EXAMINER	V. ✓	DATE CONSIDERED	10-18-04
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			

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